

Rm Risk & Monitoring

Prevent insider trading, monitor continuous trading events and comply with MAR protocols.

Challenge

Historically, detecting insider trading is a manual and a time-consuming process. To identify employees benefitting from confidential trade information, businesses need to mine extensive data on employees and trading records. Most businesses lack efficient solutions to comply with Market Abuse Regulation (MAR) and end up spending a huge amount of time in this.



Solution

Eka's Regulatory and Compliance solution allows users to comply with MAR by helping them monitor anomalies in their trade data and reports. The MAR app aggregates and analyzes data from disparate sources so companies can define policies against position, portfolio, trades or any measure. It sends alert notifications to user groups in the event of a breach and lets them analyse details to report exceptions.

Intelligence engine

- Supports regional and global views
- Tracks breach and monitoring policies over time
- Detects trades ahead of price movements or significant events
- Monitors traders or trading related information to raise alerts in the platform via emails or SMS.
- Produces multiple reports and analysis to comply with MAR requirements

Technical specification

Data sources

- Trades from single or multiple sources
- Prices from single or multiple sources
- Audit logs
- Counterparty information

Frequency

- Scheduled or near real time

Key insights

- Analyse risk policies and monitor events set up in the platform
- Analyse Suspicious Transactions and Order Reports (STOR)
- Identify "New" trades where the inception mark-to-market is over parameterized thresholds
- View individual trader activity and trade information
- Identify trades with artificial pricing levels
- Customized analysis as per requirements



Key features

External connectors

Pre-built connectors. Bring all your data together. Salesforce, SAP, Oracle and spreadsheets

Extensible

Add-on apps from the app store, to meet additional functional needs

Mine data, share insights

Use data for faster decision making. Share information with your team and stay up-to-date

Power of cloud and mobile

Anytime, anywhere access

Self service

Create your own dashboards, data views, drill downs and ad-hoc reporting

Scalable

Grows with the business

Eka Cloud Platform

Digitally connect and collaborate across your entire business network with one common experience, one security model and one integrated source of data. Eka's Cloud Platform automates processes, enhances insights and secures trusted data.

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About Eka Software Solutions

Eka Software Solutions is a global leader in providing digital solutions for Trading & Risk, Supply Chain Management and Financial Services driven by Cloud, Blockchain, Machine Learning and Analytics. The company's best-of-breed solutions serve the entire trading value chain across agriculture, energy, metals and mining and manufacturing markets.

Eka's Cloud Platform provides advanced analytics, one source of data and an automation engine, providing maximum flexibility and investment protection as business needs and market requirements change. Eka is committed to ensuring its 100+ clients can work from anywhere and collaborate across ecosystems within a secure and trusted environment.

For more information, visit www.eka1.com and follow us on [LinkedIn](#) and on [Twitter](#).